

**Today:** Fix a nonempty set  $V$ . Let  $\mathcal{T}_V$  denote the set of all trees on vertex-set  $V$ .

**Theorem** (Cayley's formula). *If  $|V| = n \geq 2$ , then  $|\mathcal{T}_V| = n^{n-2}$ .*

**Note:** We are counting all trees on  $V$ , not trees up to isomorphism.

The strategy is the following:

**Step 0:** consider  $V^{n-2} = \{(x_1, x_2, \dots, x_{n-2}) : x_i \in V\}$ , all  $(n-2)$ -tuples in  $V$ , which has  $|V^{n-2}| = |V|^{n-2} = n^{n-2}$ .

**Step 1:** construct a function  $\text{Prüfer}_V : \mathcal{T}_V \rightarrow V^{n-2}$ .

**Step 2:** show that  $\text{Prüfer}_V$  is a bijection from  $\mathcal{T}_V$  to  $V^{n-2}$ . This will imply Cayley's formula.

To define  $\text{Prüfer}_V$ , fix an ordering on  $V = \{v_1, v_2, \dots, v_n\}$  so that  $v_1 < v_2 < \dots < v_n$ .

**Definition** (Prüfer codes). *For an ordered set  $V$  with  $|V| = n \geq 2$ , we define a function*

$$\text{Prüfer}_V : \mathcal{T}_V \rightarrow V^{n-2}$$

*recursively on  $n$  as follows:*

- (1) *If  $n = 2$  define  $\text{Prüfer}_V(T) = ()$ , the empty tuple;*
- (2) *If  $n \geq 2$ , given  $T \in \mathcal{T}_V$  let  $\ell \in V$  denote the smallest leaf of  $T$  (with respect to the ordering on  $V$ ). Let  $v$  denote **the** neighbor of  $\ell$  in  $T$  and define*

$$\text{Prüfer}_V(T) = (v, \text{Prüfer}_{V-\{\ell\}}(T - \ell)).$$

**Informally:** to build  $\text{Prüfer}_v(T)$  delete the smallest leaf, write down its neighbor, and repeat until there are only two vertices left.

**Example.** *Compute the Prüfer codes of the following trees.*

**Lemma.** Fix an ordered set  $V$  with  $|V| = n \geq 2$ . For any  $v \in V$  and  $T \in \mathcal{T}_V$ , the entry  $v$  appears exactly  $\deg_T v - 1$  times in the sequence  $\text{Prüfer}_V(T)$ .

**Theorem.** For any ordered set  $V$  with  $|V| = n \geq 2$ , the function  $\text{Prüfer}_V$  is a bijection from  $\mathcal{T}_V$  to  $V^{n-2}$ .

According to the previous theorem, to any given sequence  $P \in V^{n-2}$  one can associate a unique  $T \in \mathcal{T}_V$ .

**Algorithm:** Initialize with the empty graph  $F = (V, \emptyset)$  and  $S = \emptyset$ , and iterate the following:

- (1) Let  $v \in V$  the smallest element which does not appear in  $S$  nor in  $P$ .
- (2) If  $p$  denotes the first entry in  $P$ , add the edge  $vp$  to  $F$ .
- (3) Remove the first entry of  $P$  (so we now have a sequence of length one fewer) and add  $v$  to  $S$ .

After  $n - 2$  iterations,  $P$  is the empty sequence and  $|S| = n - 2$ . Thus  $S = V - \{u, v\}$ . Adding the edge  $uv$  to  $F$  yields the desired sequence.

**Example.** Find the tree associated to sequence  $P = (1, 1, 1, 1, 3) \in \{1, 2, 3, 4, 5, 6, 7\}^5$ .

**Example.** Find the tree associated to sequence  $P = (2, 2, 3, 8, 4, 8) \in \{1, 2, 3, 4, 5, 6, 7, 8\}^6$ .